

)DOO  
,QWHUQVKLS ,17 (QUROOPHQW &KHF

&RPSOHWHG 6WXGHQW /HDUQLQJ \$JUHHPHQW DQG DOO UHTXL  
&DUHHU &HQWHU F&HHLVRIQD LHCSDM VGD\ 2FWREHU 7K  
SURFHVV ,17 HQURO\_OPHQW XSRQ UHFHLSW RIDOO UHTXLUHG

7KHUH ZLOO QRW EH DQ\ ODWH 6WXGHQW /HDUQLQJ

&RPSOHWH ,17 3DFNHW ,QFOXGHV

FX>,QWHUQDWLP &RPSQD&G` H•Q`t" €p0 ð €"xiàRQ

FX/LVW ,17 6WDUW DQG (QG'DWHV 3DJH

FX&RPSOHWH ,QWHUQVKLS 6LWH ,QIRUPDWLRQ 3DJH

FX&RPSOHWH /HDUQLQJ 2EMHFWLYHV 6WUDWHJLHV IRU &RPS

FX6LJQH 6WXGHQW /HDUQLQJ \$JUHHPHQW 3DJH

FX,QWHUQVKLS 6XSHUYLVRU 6LJQDWXUH 3DJH

FX6LJQH 6WXGHQW :DLYHURI /LDELOLW\ DQG \$VVXPSWLRQR

FX,QWHUQVKLS -RE'HVFULSWLRQRU 3RVWLQJ

FX,QWHUQVKLS 2IIHU /HWWHU

FX>6XPPHU 2QO\@ 2IILFH RI WKH 5HJLVWUDU ² 6XPPHU 5HJLVW

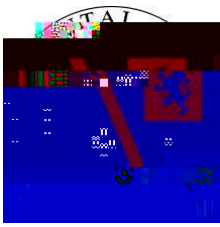
6XEPLWWLQJ)RUPV (OHFWURQLFDOO\

6WXGHQWV UHPDLQ UHVSQRVLEOH IRU FROOHFWLQJ WKH QHFHVVDU\ VLJQ  
SURFHVV DUHTXHVV ZLWKRXW WKH QHFHVVDU\ DSSURYDO V 3OHDVH QR

6WXGHQWV DUHH[SHFWHG WR FRPSOHWH WKH IRUP DQG VHQG LW WR WKHL  
GRFXPHQW DQG UHWXUQ WKH IRUP DV DQ DWWDFKPHQW WR WKH VWXGHQW

2QFH WKH ,17 6WXGHQW /HDUQLQJ \$JUHHPHQW LV FRPSOHWH LQFOXG  
6XPPHU 5HJLVWUDWLRQ)RUP LIDSSOLFDEOH VHQG DOO GRFXPHQWV LQ





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2FFLGHQWDO &ROOHJH↑V &DUHHU 6HUYLEFHV RIILFH PXVW DSSURYH DOO LQWHU  
SDUWFLSDWHLQ DILQDO UHIOHFWLYH DQG HYDOXDWLYH FRPSRQH QW ZLWK &D  
KDYH VRSKRPRUH MXQLRU RU VHQLRU VWDWXV 6WXGHQWV FDQ RQO\ EH UHJLV



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& 678'(17/(\$51,1\*2%-307,9V6)H SULQW DQG RU DWDFK UHVSQRVHV

7KHLQWHUQVKLS VXSHUYLVRU DQG VWXGHQW VKRXOG PXWXDOOW DVUHHFRSPGQKHKRVM  
\RXU ,QWHUQVKLS 6XSHUYLVRU DZQLQJ F R P S O H W L Q H W R X W O H G W G R Q U H F D Q V H U Y H D V D E D  
/HDUQLQJ 2EMHFWLYHV /2 3OHDVH DUWLFXODWHEHORZ ZKDW \RX KRSH WR OHDUQLQJ \\  
/HDUQLQJ 2EMHFWLYHV SURYLGHLQIRUPDWLRQ WR WKHLQWHUQVKLS WRSOHUWUQRUQWRG  
SHUIRUPLQJ WKH WDVN V6HUTXWUQG/RIDWQHQQZBRUNDFKLSHV

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+RZGRHV WKHLQWHUQVKLS UHODWH WR \RXU DFDGHPLF SURJUDPRI VWXG\ DQG SURIHV

6WUDWHJLHV IRU &RPSOHWRZQLDIOZBRKFFWUWYHXV6&RXU OHDUQLQJ REMHFWLYHV" ,GHQW  
GHSDUWPHQWV WR PHHW ZLWKRUVKDGRZHWF SOHDVH DWDFKIRUPDOLQWHUQVKLS

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,DJUHH WR FRPSOHWH DOO ZRUN DVVLJQPHQWV DQG OHDUQLQJ REMHFWLYHV SURPSWO  
UHOHYDQW RUJDQLJDWLRQDOSROLFLHV SURFHGXUHV DQG FOXPHWVRQV DOLG WRKHSSDURS  
P\LQWHUQVKLS VXSHUYLVRU LI ,I QHFLGHGWRFGUWSZLWKGOWE UQV KWSH FRXUVH WKURXJK  
,ZLOO FRPSOHWH UHTXLUHG IRUPV DQG VXEPLW QHFHVVDU\ GRFXPHQWV LQFOXGLQJ D  
UHFRUGHG &UHGLW FDQQRW EHLVVXHG XQWLO \$// UHTXLUHG GRFXPHQWDWLRQLV VXE

6WXGHQW 6LJQDWXUH

3OHDVH 3ULQW

'DWH

3OHDVH QRWLI\ WKH &DUHHU 6HUylfHV RIILFH LI DQ\ GLIILFXOWLHV DUH H[SHULHQFHG Z  
RU SHUIRUPDQFH LQ WKHLQWHUQVKLS

,QWHUQVKLS 6XSHUYLVRU  
3OHDVH QRWH WKDW WKH IROORZLQJ LV UHTXLUHG WR DQVZRODQ DQVZRODQ DQVZRODQ DQVZRODQ  
D &RQIHU UHJXODUO\ ZLWK WKHLQWHUQ DQG WKHLU IDFXOW\ VXSHUYLVRU DQG RU  
E 7UDLQ WKHLQWHUQ DV UHTXLUHG DQG RULHQW WKHP WR WKH RUJDQLJDWLRQ\ V S  
F 0DNH QHFHVVDU\ HTXLSPHQW VXSSOLHV DQG VSDFH DYDLODEOH IRU WKHLQWHUQ  
G \$UUDQJH D ZHHNO\ ZRUN VFKHGXOH WKDW FDQ DFFRPPRGDWH WKHLQWHUQ\ V DFD  
H 3URYLGH WKH &DUHHU 6HUylfHV RIILFH ZLWK D ILQDO ZULWWHQ SHUIRUPDQFH HY  
WR WKH )DFXOW\ 6XSHUYLVRU 7KH H I E R D D HYDOXDWLRQLV

&UHGLW FDQQRW EHLVVXHG XQWLO \$// SSSHUZRUN DQG UHTXLUHG HY

,KDYH GLVFXVVHG WKHLQWHUQVKLS ZLWK WKHLQWHUQ DQG KDYH QHJRWLDWHG DQG DV  
WKLV DJUHHPHQW ,IXUWKHU DJUHH WR EH DYDLODEOH IRU FRXQVHO DQG DGYLFH IRU WH

,QWHUQVKLS 6XSHUYLVRU 6LJQDWXUH 3OHDVH 3ULQW

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, DJUHH ZLWK WKH VWLSXODWLRQ RI WKH DJUHHPHQW , IXUWKHU DJUHH WREH DYDL  
DSSURSULDWH WR YLVLW WKH VLWH DQG RU FRQYHUVH ZLWK WKH ,QWHUQVKLS VXS

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&DUHHU 6HUYLEFHV 6LJQDWXUH

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3OHDVH 3ULQW

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&XPXODWLYH \*3\$

RU EHWWHU LV UHTXLUHG DW WKH WLPH RIDSSOLFDW

/DVW GD\ WR VXEPLW IRUP WR +&& LV :HGQHVG D\ 2FWREHU

,17 ,QWHUQVKLS &RXUVH

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7KLV LV D UHOHVDH 3OHDVH UHDLG LW FDUHIXOO\

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DP D VWXGHQW DW 2FFLGHQWDO &ROOHJH  
VHPHVWHU DW WKHIROORZLQJ

GXUL DOO 6SULQJ 6XPPHU

,QWHUQVKLS 6LWH

&LW\ 6WDWH SOHDVHLQGLFDWH

2FFLGHQWDO &ROOHJH GRHV QRW FRQWURO WKH ZD\LQ ZKLFK WKH LQWHUQVKL  
FUHGLW IRU WKLV LQWHUQVKLS WKH &ROOHJH DIILUPV WKDW WR WKHEHVW RIL  
VWXGHQWV LQ DOLEHUDO DUWV SURJUDP RI VWXG\DQG ZRUWK\RI 2FFLGHQWDO

6WXGHQW :DLYHU )RUP ^ 3DJH

6WXGHQW 1DPH \_\_\_\_\_

, DFNQRZOHGJH WKDW DOO ULVNV FDQQRW EH SUHYHQWHG DQG VRPH ULVNV FRX  
LQFOXGLQJ GHDWK , DJUHH WR DVVXPH WKRVH ULVNV ZKHWKHU IRUHVHHQ RU X  
H[SUHVVO\ DVVXPH WKDW WKH UHVSQRVLELOLW\ RI HGXFDWLQJ P\ VHOI WR WKH  
ULVNV ERWK NQRZQ DQG XQNQRZQ

, RQ EHKDOI RIP\ KHLUV DVVLJQV UHSUHVHQWDWLYHV H[ HFXWRUV RU DGPL  
RIILFHUV ERDUG PHPEHUV HPSOR\HHV DGYLVRUV DJHQWV RU UHSUHVHQWDW  
RU LOOQHVV LQFOXGLQJ EXW QRW OLPLWHG WR GHDWK WKDW PD\ UHVXOW IU  
GHSDUWXUH RU IROORZLQJ P\ UHWXUQ

6&23(2) 5((\$6( , DP VLJQLQJ WKLW /LDELOLW\ 5HOHDVH DQG &ODLP :DLYHU ZLW

'\$ JHQHUDO UHOHDVH GRHV QRW H[WHQG WR FODLPV ZKLFK WKH FUHGLWRU  
H[ HFXWLQJ WKH UHOHDVH ZKLFK LI NQRZQE\ KLP PXVW KDYH PDWHULDOO\

, ZDLYH WKH SURYLVLQRQ RI WKLW VWDWXWH DQG DQ\ VLPLODU SURYLVLQRQ RI W

, KDYH UH DG WKH IRUHJRLQJ DQG VLJQ WKLW DV DQ DFW RI P\ RZQ IUHH ZLOO ZLW

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6LJQDWXUH

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3OHDVH 3ULQW

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'DWH

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3DUHQWRU \*XDUGLDQ LISDUWLFLSDQ 3OHDVH 3ULQW

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/H DUQLQJ 2EMHFWLYH 'HVFULSWLRQ

/H DUQLQJ 2EMHFWLYHV KHOS \RX IRFXV R Q E Z H B R W H W D B W H R W R J Q D V H Q J Q R P L S X 7 U K  
LQGLUHFWO\UHODWHG WR \RXU FRXUVH R I V W X G \ /H DUQLQJ 2EMHFWLYHV SURY  
DQG VNLOOV WRV O H D U Q L Q D G G L W L R Q W R S H U I R U P L Q J W K H W D V N V U H T X L U H G  
DFTXLUH ZKDW LQWHOOFWXDO R U I X Q F W L R Q D O V N L O O V \ R X K R S H W R G H Y H O R  
SHUVRQDO YDOXH V L S R & R I G N H W Z H V S O R D H , Q W H U Q V K L S 6 X S H U Y L V R U W K D W \ R X U  
V X F F H V V I X O O \ D F K L H Y H W K H P E H I R U H W K H H Q G R I \ R X U L Q W H U Q V K L S D V V L J Q P H

:ULWLQJD /H DUQLQJ 2EMHFWLYH

%H O R Z D U H N H \ D U H D V W R I R F X V R Q Z K H Q Z U L W L Q J \ R X U / H D U Q L Q J 2 E M H F W L Y H V

\$ .QRZOHGJH WR EHDFTXLUHG

5HJDUGLQJRQH V DFDGHPLF PDMRU L H W K H R U L H V S U D F W L F H V  
5HODWHG WR DSDUWLFXODU EXVLQHVV LQG XVWU\ R U R S H U D W L R Q D O  
5HOHYDQW WR FDUHHU GHYHORS PHQW

% 6NLOOV WR EHGHYHORS HG ERWKLQWHOOFWXDO DQG IXQFWLRQDO

\$ Q D O \ W L F D O V N L O O V I R U R Q H V D F D G H P L F P D M R U  
2SHUDWLRQDO VNLOOV WR SHUIRUP IXQFWLRQV UHTXLUHG LQ WKH LQW  
, QWHUSHUVRQDO VNLOOV WR LQWHUDFW V X F F H V V I X O O \ R Q W K H M R E

& 3UREOHPV WR EHVROYHG

\$ S S O L F D W L R Q R I R Q H V D F D G H P L F P D M R U  
'HWDLOV WR XQGHUVWDQG DERXW RQH V ZRUN DVVLJQPHQW  
4XHVWLRQV WR UHVROYH DERXW RQH V SHUVRQDO LQWHUHV V

' 9DOXH WR EHFODULILHG

, Q W H U H V W L Q W K H Q R Q S U R I L W S U L Y D W H J R Y H U Q P H Q W R U Q R Q J R Y H U  
7HDP R U L Q G L Y L G X D O E X V L Q H V V S U R I H V V L R Q D O E X V L Q H V V F D V X D O R  
0L V V L R Q R U U H V X O W V G U L Y H Q Z R U N  
3D V V L R Q I R U V P D O O P L G V L J H G R U O D U J H I L U P V

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2 E M H F W L Y H V 6 & 2 I R U V R P H R Q H Z K R V H J R D O L V  
G H S D U W P H Q W V R I : D U Q H U % U R W K H U V  
  
/ 2 7 R O H D U Q K R Z W R Z U L W H P D U N H W L Q J P D W H U  
/ 2 \* D L Q H [ S R V X U H W R W K H V W H S V W R S O D Q Q L Q  
/ 2 / H D U Q K R Z W R S U R P R W H : D U Q H U % U R W K H U V  
  
6 & 2 , S O D Q W R F U D I W S U R P R W L R Q D O O L W H U D W  
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